## JAP15 Rec'd PCT/PTO 17 JUL 2006 EV850783259US

TRANSMITTAL LETTER TO THE UNITED STATES Attorney Docket No. UM-13017 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 International Filing Date: Priority Date Claimed: International Application No. PCT/US2005/001406 18 January 2005 (18.01.05) 16 January 2004 (16.01.04)

Title Of Invention: Conformationally Constrained SMAC Mimetics and the Uses Thereof

## APPLICANT(S) FOR DO/EO/US WANG, Shaomeng, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. 

  ☐ The US has been elected (Article 31).
- 5. 

  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\Box$  is attached hereto (required only if not communicated by the International Bureau).
  - b. B has been communicated by the International Bureau.
  - c.  $\Box$  is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. are attached hereto (required only if not communicated by the International Bureau).
  - b. D have been communicated by the International Bureau.
  - c. D have not been made; however, the time limit for making such amendments has NOT expired.
  - d. A have not been made and will not be made.
- 8. 

  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

- 11. 

  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. ☐ A preliminary amendment.
- 14. 

  An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. Other items or information: WO 2005/069894 A2; WO 2005/069894 A3 as republished; Written Opinion (Form PCT/ISA/237); International Search Report (Form PCT/ISA/210); Requested Corrections as filed on 29 March 2006.

| U.S. Application 10/586271  |  | International Application No. PCT/US2005/001406 |                     | Attorney's Docket No.<br>UM-13017 |              |
|---|--|---|---------------------|-----------------------------------|--------------|
|   |  |   |                     | CALCULATIONS                      | PTO USE ONLY |
| 21.   Basic National Fed  |  | \$ 300.00                                       | \$300.00            |                                   |              |
|   |  | \$200.00  |                     |                                   |              |
| the international   | 7 CFR1.445(a)(2)) has nal application to the USearching Authority Search Report prepared | \$400.00  |                     |                                   |              |
| ☐ All Other Situations \$ 500.00  Total of 21, 22 and 23 =  |  |   |                     | \$900.00                          |              |
| Additional Fee For Specifi or computer program listin sheets of paper or fraction  Total Sheets E | g filed in an electronic   |   | fee is \$250.00 for |                                   |              |
| Total Slicets Extra Slicets   |  | Fraction Thereof (round up to a whole number)   |                     | KATE                              |              |
| <b>124</b> - 100 <b>24</b> /50 =  |  | 1 x 250   |                     | \$250.00                          | ]            |
| Surcharge of \$130.00 for fi<br>priority date (37 CFR 1.49)                                       |  | eclaration later                                | than 30 months fro  | m the earliest claimed            |              |
| CLAIMS  | NUMBER<br>FILED  | NUMBER<br>EXTRA                                 | RATE                |                                   |              |
| TOTAL CLAIMS  | 40 - 20 =  | 20  | x \$50.00           | \$1,000.00                        |              |
| INDEPENDENT CLAIMS  | 3 1 - 3 =  | 0   | x \$200.00          | \$0.00                            |              |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   | + \$360.00   | No  | + \$360.00          | \$0.00                            |              |
| ·.  | TOTAL OF   | \$2,150.00                                      |                     |                                   |              |
| Applicant claims small e above are reduced by 1/2   | entity status. See 37 CI   | FR 1.27. The fe                                 | es indicated        |                                   |              |
|   |  | \$1,075.00                                      |                     |                                   |              |
| Processing fee of \$130.00 months from the earliest cla   |  | \$0.00  |                     |                                   |              |

| U.S. Application No. PCT/US2005/001406  |   |                           | Attorney's Docket No. UM-13017 |    |  |  |  |
|---|---|---------------------------|--------------------------------|----|--|--|--|
|   |   | TOTAL NATIONAL FEE =      | \$1,075.00                     |    |  |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +                          |   |                           | \$0.00                         |    |  |  |  |
|   |   | \$1,075.00                |                                |    |  |  |  |
| •   | · .   | Amount To Be<br>Refunded: | \$                             |    |  |  |  |
|   |   |                           | Charged:                       | \$ |  |  |  |
| a. 🛭  | a.   A check in the amount of \$1,075.00 to cover the above fees is enclosed.   |                           |                                |    |  |  |  |
| <b>b</b> . □  | b. Please charge any my <b>Deposit Account No. 08-1290</b> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.   |                           |                                |    |  |  |  |
| c. 🗆  | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |                           |                                |    |  |  |  |
| d. ⊠  | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to <b>Deposit Account No. 08-1290</b> . A duplicate copy of this sheet is enclosed.                 |                           |                                |    |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. |   |                           |                                |    |  |  |  |
| Send All Correspondence To:   |   |                           |                                |    |  |  |  |
| Robert A. GOETZ  Lofert / Joseph  |   |                           |                                |    |  |  |  |
| MEDLEN & CARROLL, LLP  Robert A. Goeda (Reg. No. 55,210)  101 Howard Street, Suite 350  |   |                           |                                |    |  |  |  |
| San Francisco, California 94105   |   |                           |                                |    |  |  |  |
| Phone: 415-904-6500 Fax: 415-904-6510  17 July 2006   |   |                           |                                |    |  |  |  |
| Date  |   |                           |                                |    |  |  |  |